

Please type a plus sign (+) inside this box →

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonapplications under 37C.F.R. §1.53(b))

Attorney Docket No.

PC25035

First Inventor

Laura C. Blumberg

Title

Novel Piperidine Derivatives

Express Mail Label No.

EV346370031US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status  
See 37 CFR 1.27

3. ☒ Specification [Total Pages  ]  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total sheets  ]

5. ☐ Oath or Declaration [Total pages  ]

a. ☐ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6.. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Readable Copy (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies)

ii. ☐ Paper

c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS  
Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below



28523

Name

Address

City

State

Zip C de

Country

Telephone

Fax

NAME (Print/type)

Lisa A. Samuels

Registration No. (Attorney/Agent)

43,080

Signature

*Lisa A. Samuels*

Date

7/8/03

## FEE TRANSMITTAL for FY 2003

### Complete if Known

☐ Applicant claims small status. See 37 CFR 1.27

**Total Amount of Payment** (\$750.00)

|                      |                          |
|----------------------|--------------------------|
| Application Number   | To be assigned           |
| Filing Date          | Herewith                 |
| First Named Inventor | <b>Laura C. Blumberg</b> |
| Examiner Name        | To be assigned           |
| Art Unit             | To be assigned           |
| Attorney Docket No.  | PC25035A                 |

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None  
☒ Deposit Account:

Deposit  
Account  
Number

**16-1445**

Deposit  
Account  
Name

**Pfizer Inc.**

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity        |          | Small Entity |          | Fee Description    | Fee Paid   |
|---------------------|----------|--------------|----------|--------------------|------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                    |            |
| 1001                | 750      | 2001         | 375      | Utility filing fee | <b>750</b> |
| 1002                | 330      | 2002         | 165      | Design filing fee  |            |
| 1003                | 520      | 2003         | 260      | Plant filing fee   |            |
| 1004                | 750      | 2004         | 375      | Reissue filing fee |            |
| 1005                | 160      | 2005         | 80       | filing fee         |            |
| <b>SUBTOTAL (1)</b> |          |              |          |                    | <b>750</b> |

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    | Large Entity | Small Entity | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|--------------|--------------|----------------|----------|
| Total Claims       | 15           | -20**=       | 0            | X 18           | = 0.00   |
| Independent Claims | 1            | -3**=        | 0            | X 84           | = 0.00   |
| Multiple Dependent |              |              |              | 280            | = 0.00   |

\*\* or number previously paid, if greater; For Reissues, see below

| Large Entity        |          | Small Entity |          | Fee Description   | Fee Paid    |
|---------------------|----------|--------------|----------|---|-------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |   |             |
| 1202                | 18       | 2202         | 9        | Claims in excess of 20                                    | <b>0.00</b> |
| 1201                | 84       | 2201         | 42       | Independent claims in excess of 3                         |             |
| 1203                | 280      | 2203         | 140      | Multiple dependent claim, if not paid                     |             |
| 1204                | 84       | 2204         | 42       | **Reissue independent claims over original patent         |             |
| 1205                | 18       | 2205         | 9        | **Reissue claims in excess of 20 and over original patent |             |
| <b>SUBTOTAL (2)</b> |          |              |          |   | <b>0.00</b> |

### FEE CALCULATION (continued)

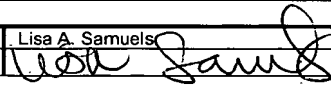
#### 3. ADDITIONAL FEES

| Large Entity              |          | Small Entity |          | Fee Description  | Fee Paid |
|---------------------------|----------|--------------|----------|--|----------|
| Fee Code                  | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051                      | 130      | 2051         | 65       | Surcharge - late fee or oath   |          |
| 1052                      | 50       | 2052         | 25       | Surcharge-late filing fee or cover sheet                                   |          |
| 1053                      | 130      | 1053         | 130      | Non-English specification  |          |
| 1812                      | 2,520    | 1812         | 2,520    | For filing a request for reexamination                                     |          |
| 1804                      | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                      | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251                      | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252                      | 410      | 2252         | 205      | Extension for reply within second month                                    |          |
| 1253                      | 930      | 2253         | 465      | Extension for reply within third month                                     |          |
| 1254                      | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |          |
| 1255                      | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |          |
| 1401                      | 320      | 2401         | 160      | Notice of Appeal   |          |
| 1402                      | 320      | 2402         | 160      | Filing a brief in support of an appeal                                     |          |
| 1403                      | 280      | 2403         | 140      | Request for oral hearing   |          |
| 1451                      | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452                      | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453                      | 1,300    | 2453         | 650      | Petition to revive - unintentional   |          |
| 1501                      | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |          |
| 1502                      | 470      | 2502         | 235      | Design issue fee   |          |
| 1503                      | 630      | 2503         | 315      | Plant issue fee  |          |
| 1460                      | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807                      | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 1801                      | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |          |
| 1806                      | 180      | 1806         | 180      | Submission of Information Disclosure Statement                             |          |
| 8021                      | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809                      | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810                      | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| Other Fee (specify) _____ |          |              |          |  |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 0.00

### SUBMITTED BY

|                     |   |             |              |                          |
|---------------------|---|-------------|--------------|--------------------------|
| Name (Printed/Type) | Lisa A. Samuels   | Date        | 7/8/03       | Complete (if Applicable) |
| Signature           |  | Reg. Number | 43,080       | Telephone                |
|                     |   |             | 860-686-0349 |                          |

EXPRESS MAIL NO. EV346370031US